

# $\nu$ We Never Knew You

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- Background.
- Examples of disagreements with theory.  
**Every case examined disagrees.**
- Discussion of proposed explanations.
- Future prospects.

Neutron-Width Data Disagree with the Porter-Thomas Distribution ( $\nu=1$ ).

# In the beginning...

(of neutron resonance spectroscopy, circa 1950)

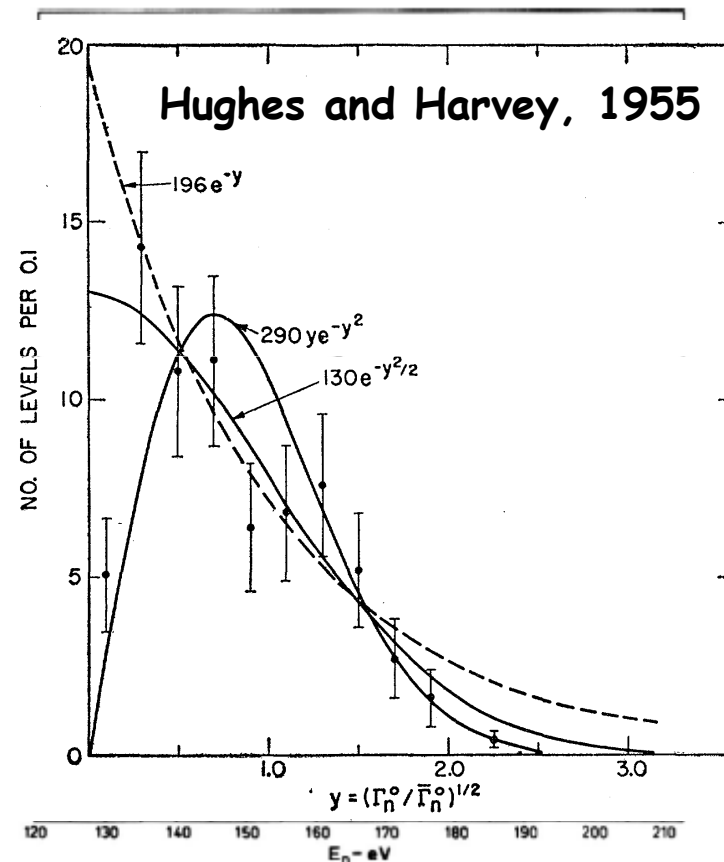
- Many narrow resonances observed.

$\Gamma \ll D$  (long lived)  $\Rightarrow$   
Compound nucleus model.

- Wide distribution of  $\Gamma_n^0 = \Gamma_n / \sqrt{E_n}$ .

Early data agreed best with exponential distribution.

Also theories from Porter and Thomas, and Bethe.



Consensus View from Last ~50 Years:

## Reduced Neutron Widths ( $\Gamma_n^0$ ) Follow a Porter-Thomas Distribution (PTD)

- PTD (1956) derived from 3 fundamental assumptions:

1) Time-reversal invariance holds ( $\gamma_{\lambda c}$  real).

2) Single channel (elastic scattering) for neutrons.

3) Widths are "statistical".

Compound nucleus model, central-limit theorem  $\Rightarrow$

$\gamma_{\lambda c}$  Gaussian distributed with zero mean  $\Rightarrow$

$\Gamma_n^0$  follow a  $\chi^2$  distribution with one degree of freedom ( $\nu = 1$ ).

# Random Matrix Theory for the Gaussian Orthogonal Ensemble (RMT for the GOE)

- More formal footing.
- Broader predictions.

Eigenvalue (spacings) as well as eigenvector (widths) fluctuations.

- Links to diverse systems and other fields.

Atomic physics.

Microwave billiards.

Quantum chaos.

- Neutron resonance data routinely cited as proof of RMT.

# All Neutron Data Disagree with RMT for the GOE

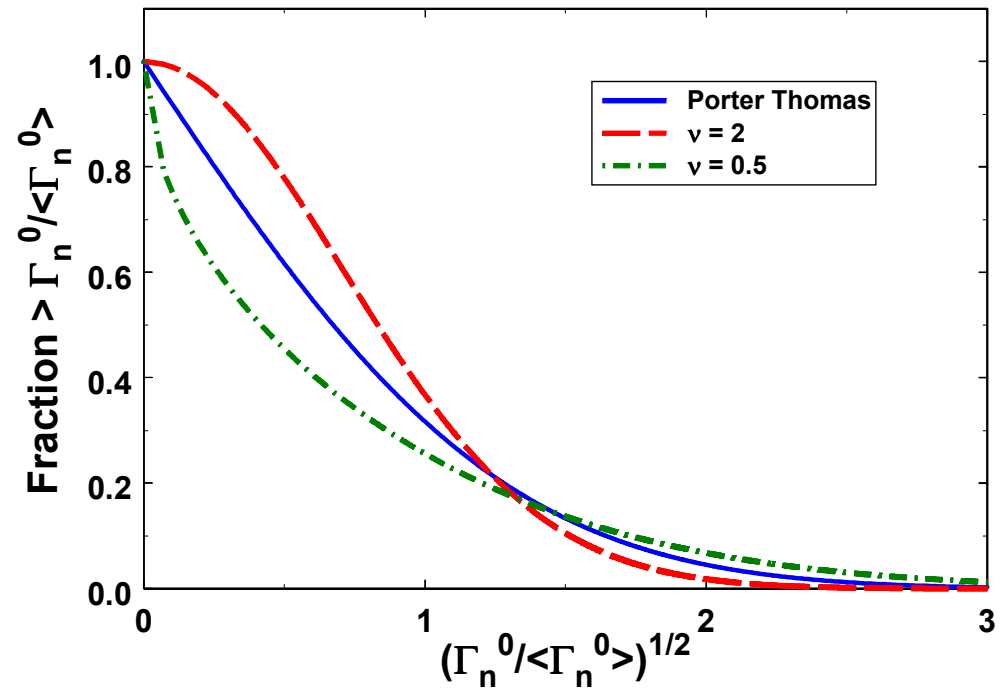
- Systematic problems with experiments:

Small resonances missed ( $\nu$  too large).

$p$ -wave contamination of  $s$ -wave data set ( $\nu$  too small).

- When these problems are properly accounted for, is any remaining difference significant?

Limited amount of data spread over a wide distribution.



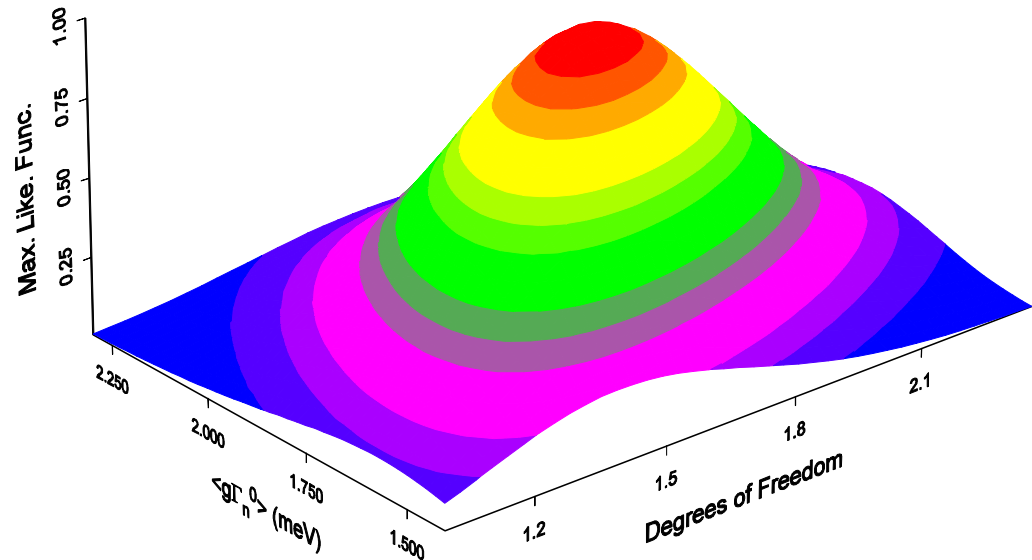
$$\Gamma_n^0 = \Gamma_n / \sqrt{E_n}$$

# Typical Test of the PTD

("Lies, dammed lies, and statistics")

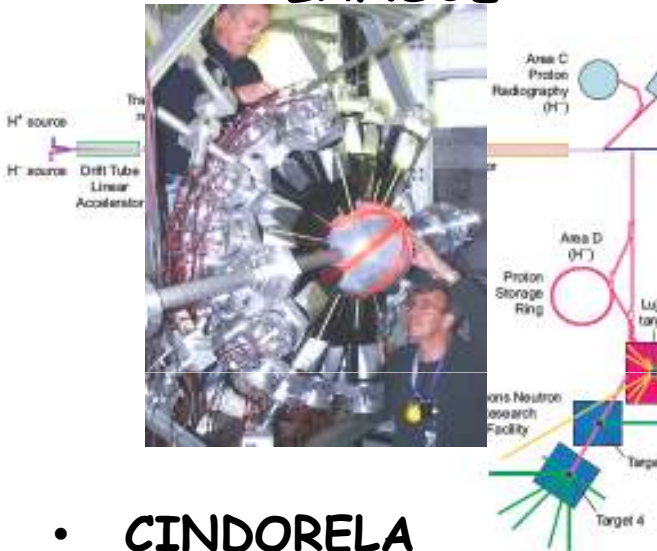
- Assume  $\Gamma_n^0$  are  $\chi^2$  distributed.
- Use maximum-likelihood (ML) technique to estimate  $\nu$  and  $\langle \Gamma_n^0 \rangle$ .

PTD has  $\nu=1$ .

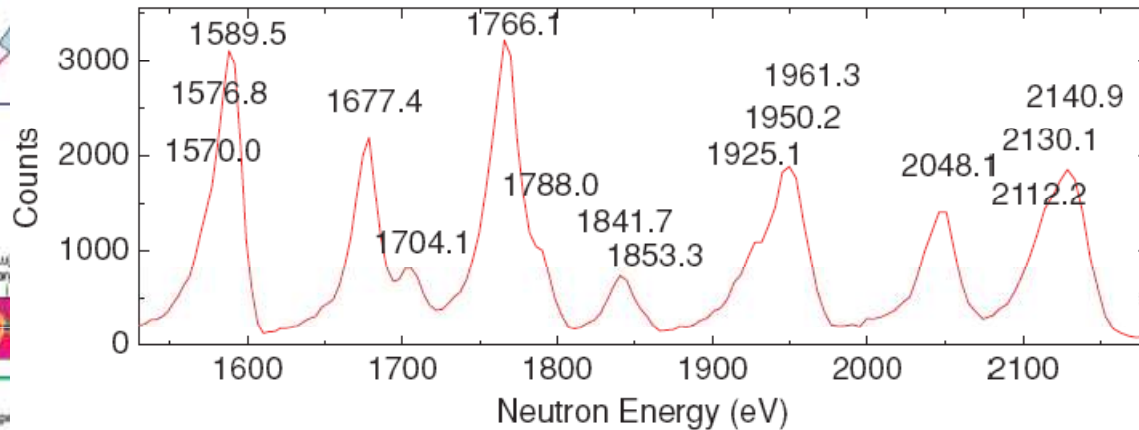


# LANSCCE and ORELA White Neutron Sources $E_n$ via Time of Flight

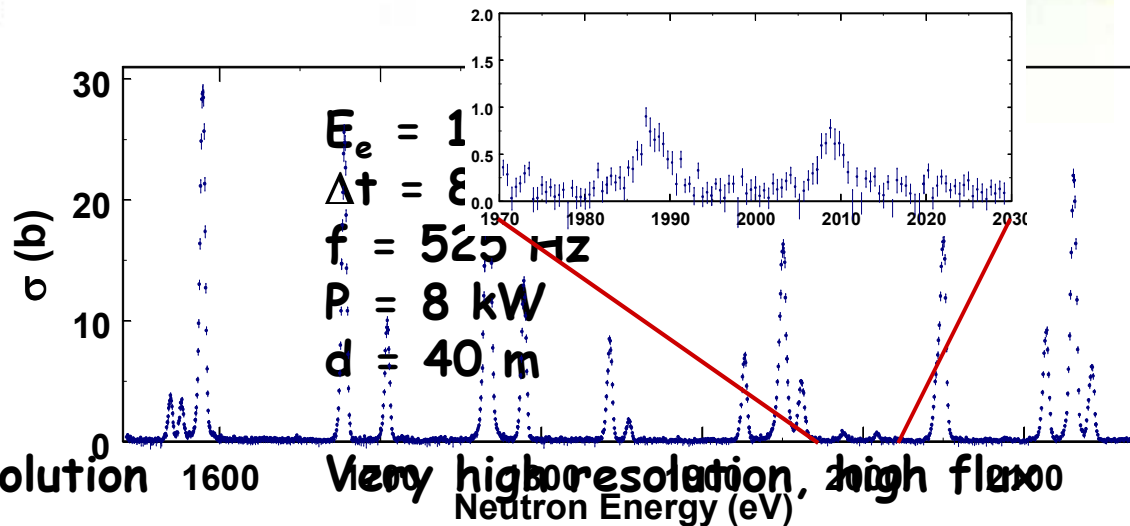
- DANCE @ LANSCE



ORELA  
 Sheets *et al.*, Phys. Rev. E **76**, 064317



- CINDORELA

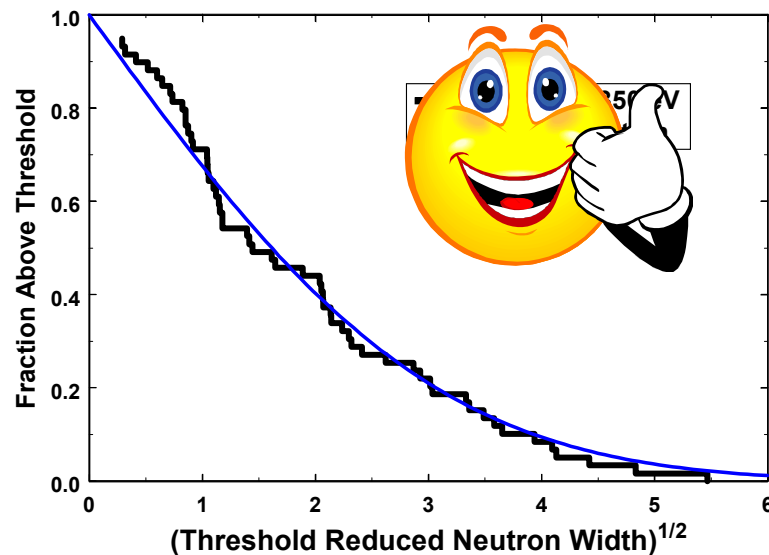


very high flux, poor resolution      Very high resolution, high flux

# Data From DANCE: Change from agreeing to disagreeing with PTD. *Koehler et al., Phys. Rev. C 76, 025804 (2007)*

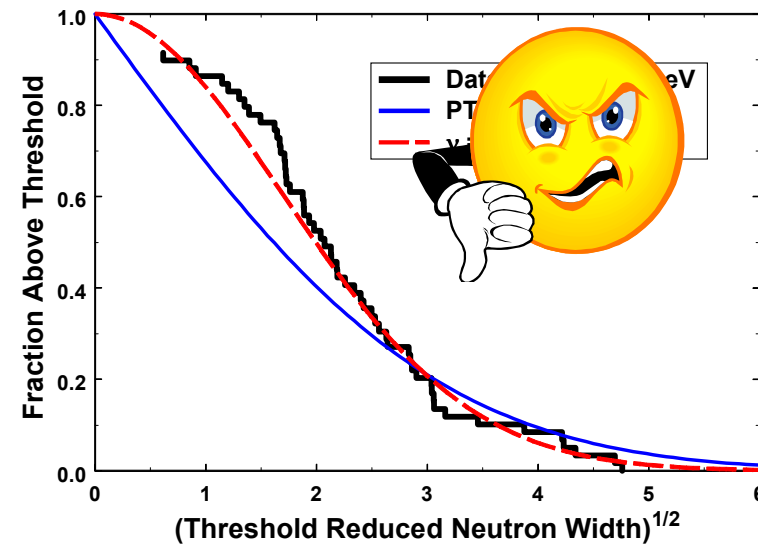
## $^{147}\text{Sm}$ neutron resonances

1<sup>st</sup> 60 resonances: PTD OK.



$$\nu = 0.91 \pm 0.32$$

2<sup>nd</sup> 60 resonances: PTD no good.



$$\nu = 3.19 \pm 0.83$$

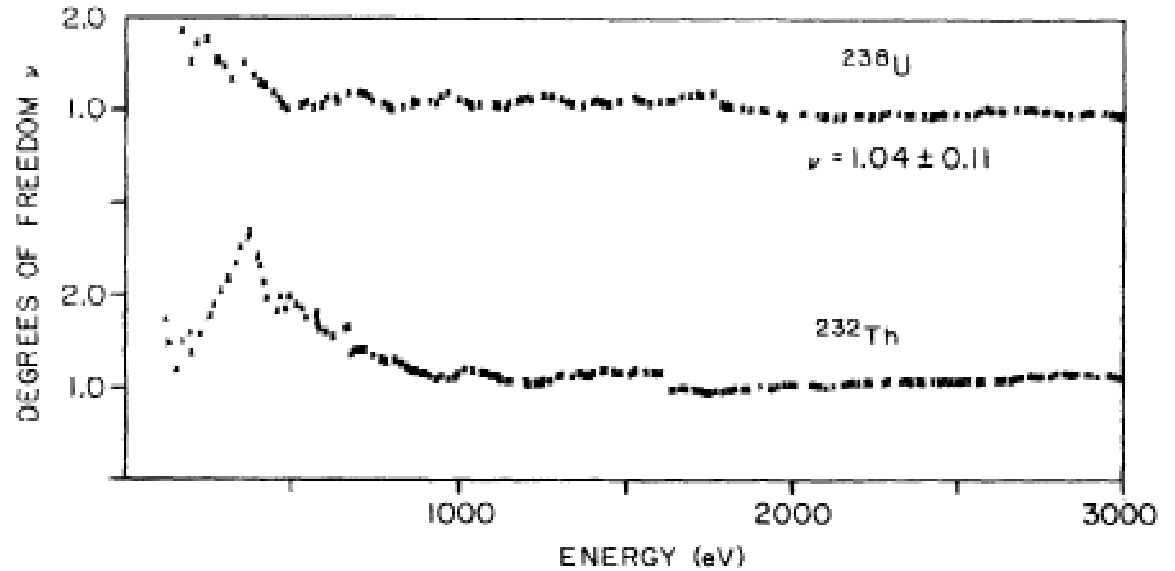


# Previous Reports of Deviations from the PTD

- $^{232}\text{Th}+n$ .

$\nu=3.8\pm 1.3$ , 1<sup>st</sup> 25 res.  
 $\nu=0.83\pm 0.68$ , 2<sup>nd</sup> 25 res.

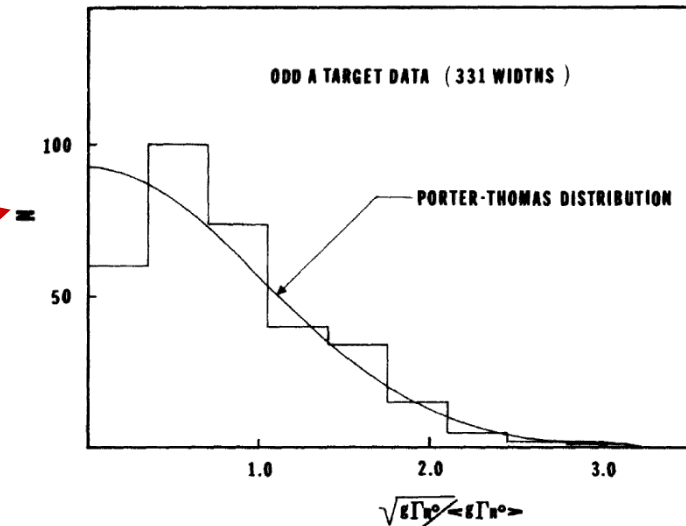
Ribon, 1969.  
 Forman *et al.*, 1971.  
 Forman *et al.*, 1971.  
 Rahn *et al.*, 1972.



- Five odd-A nuclides:  
 $^{151}\text{Sm}$ ,  $^{163}\text{Dy}$ ,  $^{167}\text{Er}$ ,  $^{175}\text{Lu}$ ,  
 and  $^{177}\text{Hf}$ .

“Striking” disagreement  
 with the PTD even though  
 $\Delta_3$  agrees well with the  
 GOE.

Camarda, 1976.



# Reduced Neutron Widths In the Nuclear Data Ensemble: Experiment and Theory Do Not Agree

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PHYSICAL REVIEW LETTERS

19 APRIL 1982

**Fluctuation Properties of Nuclear Energy Levels: Do Theory and Experiment Agree?**

ject. It is the purpose of this Letter to settle once and for all this question for energy-level fluctuations.

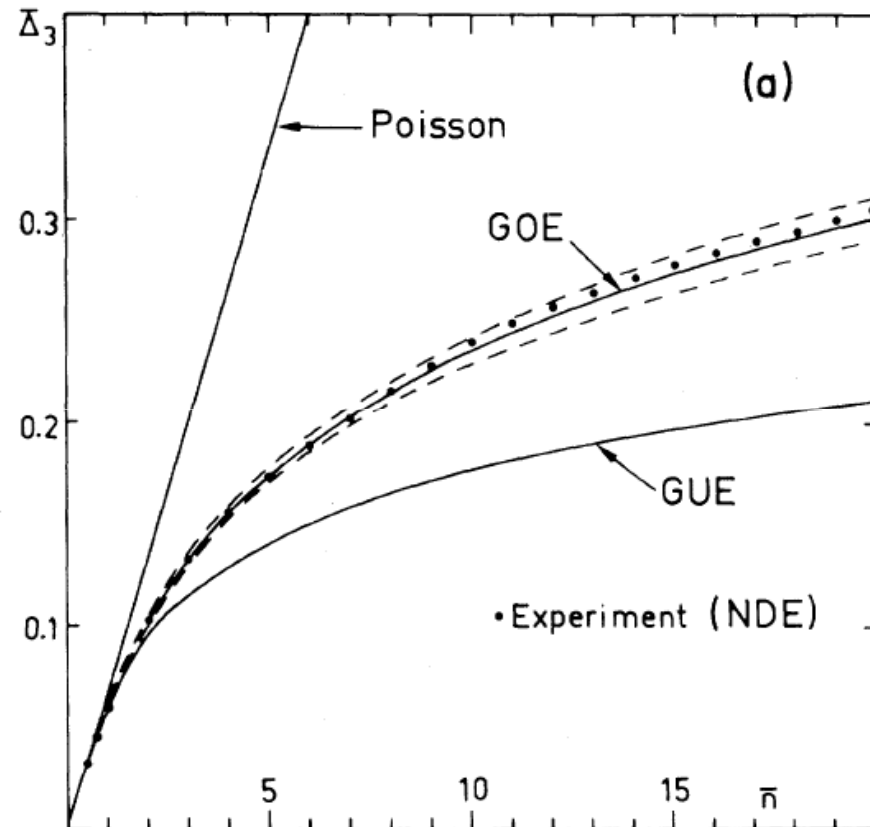
We have thus established an astonishingly good agreement between a parameter-free theory (GOE) and the data. We emphasize that, apart

## The Impact of Haq *et al.*

- Often cited as providing striking confirmation of random matrix theory (RMT) predictions for the Gaussian orthogonal ensemble (GOE).
- New neutron resonance data hardly ever used anymore to test RMT for the GOE.  
**Despite almost 40 years of improvements in detectors, neutron sources, and analysis codes, new data don't agree with GOE as well as data used by Haq *et al.***
- Instead, theory used to correct the data for missed or misassigned resonances.  
**What is so special about data used by Haq *et al.*?**  
**Why are new data not as good?**

## Data used by Haq *et al.*: The Nuclear Data Ensemble (NDE)

- Set of 1407 energies of (mostly) neutron and proton resonances in 27 different nuclides.  
**Uncertainty dominated by limited sample size.**  
Slightly different NDE in O.  
Bohigas, R. Haq, and A. Pandey, in *Nuclear Data for Science and Technology*, p. 809 (1983).
- Found remarkably good agreement between theory and experiment for  $\Delta_3$  ensemble average (a weighted average of averages).



# Advantages of Using Widths Rather Than Spacings

- Experimental effects easily incorporated into analysis.

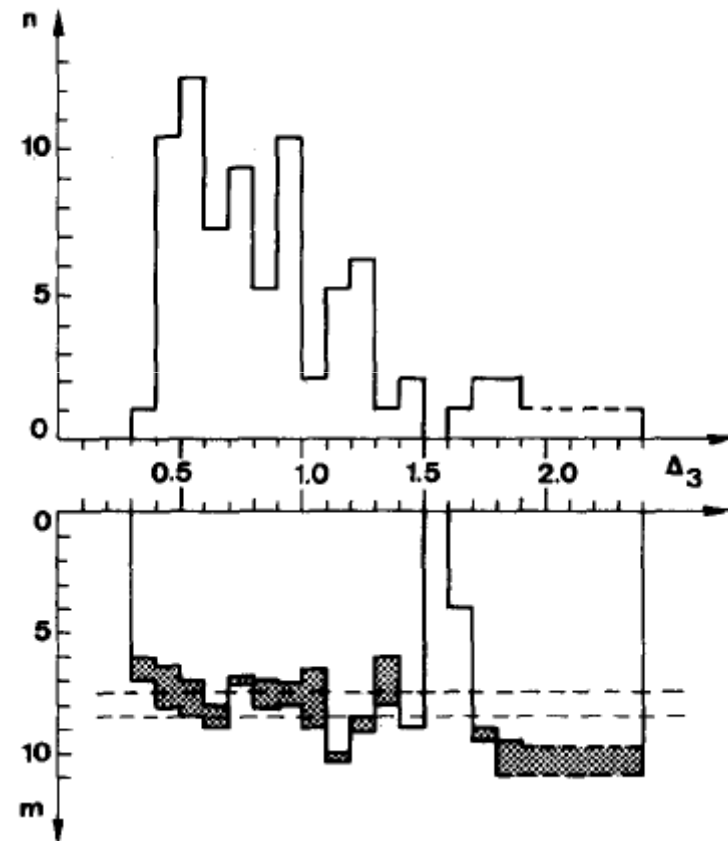
Know how widths are missed.  
Number missed depends on  $\nu$ .

- Use of maximum-likelihood technique is straightforward.

PTD is  $\chi^2$  with  $\nu=1$ .

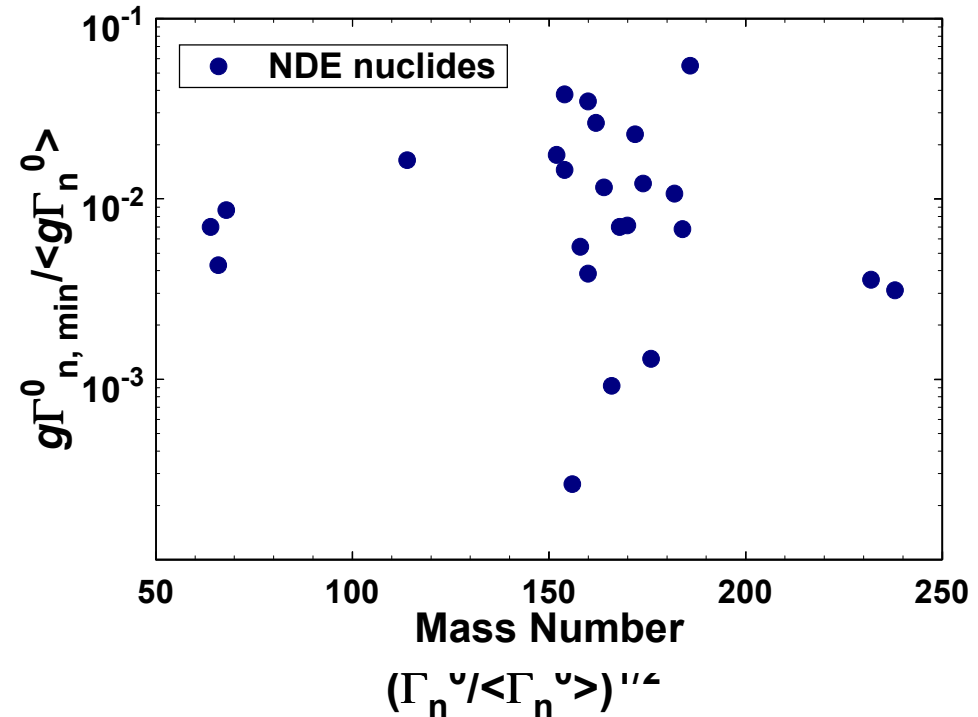
- Widths provide a more reliable and sensitive test of theory.

“It follows that a measurement of resonance energies alone is not a very powerful tool for testing the statistical model of spectra fluctuations, while a much more reliable analysis can be performed if also neutron widths are measured.” - Coceva and Stephanon, Nucl. Phys. 315, 1 (1979).



## Problems With the NDE Neutron Widths

1. Apparent thresholds vary by orders of magnitude.
2. More resonances missed at higher energies.
3. Serious  $p$ -wave contamination.  
 $131/1245 = 10.5\%$  overall.  
 As much as 35 % in some cases.



NDE is neither complete nor pure.

Systematic errors result from analyzing NDE as a single group.

Must analyze each nuclide separately, and then combine.

Threshold must be used to account for missed resonances and to eliminate  $p$ -waves.

## Improved ML Analysis of NDE Neutron-Width Distribution

- Use threshold proportional to  $E_n$ .

$$\Gamma_n^0 / \langle \Gamma_n^0 \rangle \geq T E_n / E_{\max}$$

- Analyze each nuclide separately.

**Minimizes systematic errors due to missing small resonances and *p*-wave contamination.**

**Eliminates *p*-waves equally effectively at all energies.**

**Close to shape of experimental sensitivity.**

**Maximizes statistical precision.**

## Results: ML Analysis of NDE with Minimum Thresholds

- T chosen for each nuclide so that threshold just below all data.

If NDE agrees with PTD, expect  $\nu \geq 1$  because experiment thresholds might not be perfectly “black”.

- Weighted average for 24 nuclides (1245 widths):

$$\nu = 0.801 \pm 0.052.$$

3.8 std. dev. smaller than PTD.

- Interesting “new” physics?

**More likely: Due to  $p$ -wave contamination.**

Either way, contradicts good agreement reported for  $\Delta_3$ .



## Results: Cleansing the NDE of $p$ waves

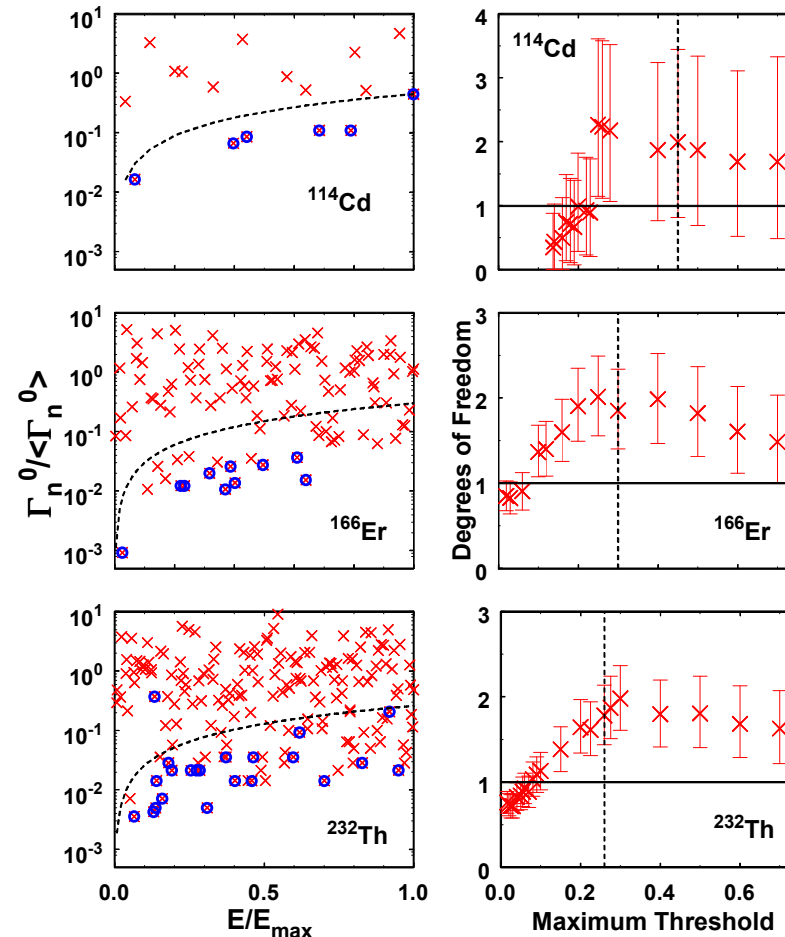
- Used higher thresholds,  $T$ .
- Studied  $\nu$  as function of  $T$ .
- For many NDE nuclides:

$\nu$  initially increases with  $T$ ,  
then levels out.

Expected if  $p$ -wave  
contamination.

- “ $p$  free” weighted average  
(978 widths):

$$\nu = 1.217 \pm 0.092$$



NDE neutron widths do not agree with the GOE.

## Why Does the NDE Agree So Well With GOE Spacings?

- Data selected (at least in part) to agree with theory.

**“The criterion for inclusion in the NDE is that the individual sequences be in general agreement with the GOE.” (Bohigas *et al.*).**

- For all but three of NDE nuclides, separation of *s*- from *p*-wave resonances accomplished using measures derived from the GOE.

**“...no specific tests for *s* vs *p* levels, so there may be errors in these assignments.” (Liou *et al.*, <sup>166,168,170</sup>Er).**

- It is possible to find a subset of the observed resonances which agrees with GOE spacings.

**Incompleteness compensated by impurity.**

# Testing the PTD Using 192,194,196Pt+n ORELA Data

- Better separation of  $s$ - from  $p$ -wave resonances.

Pt:  $S_0/S_1 \approx 10$ .

$^{232}\text{Th}$ :  $S_0/S_1 \approx 0.5$

- Better data.

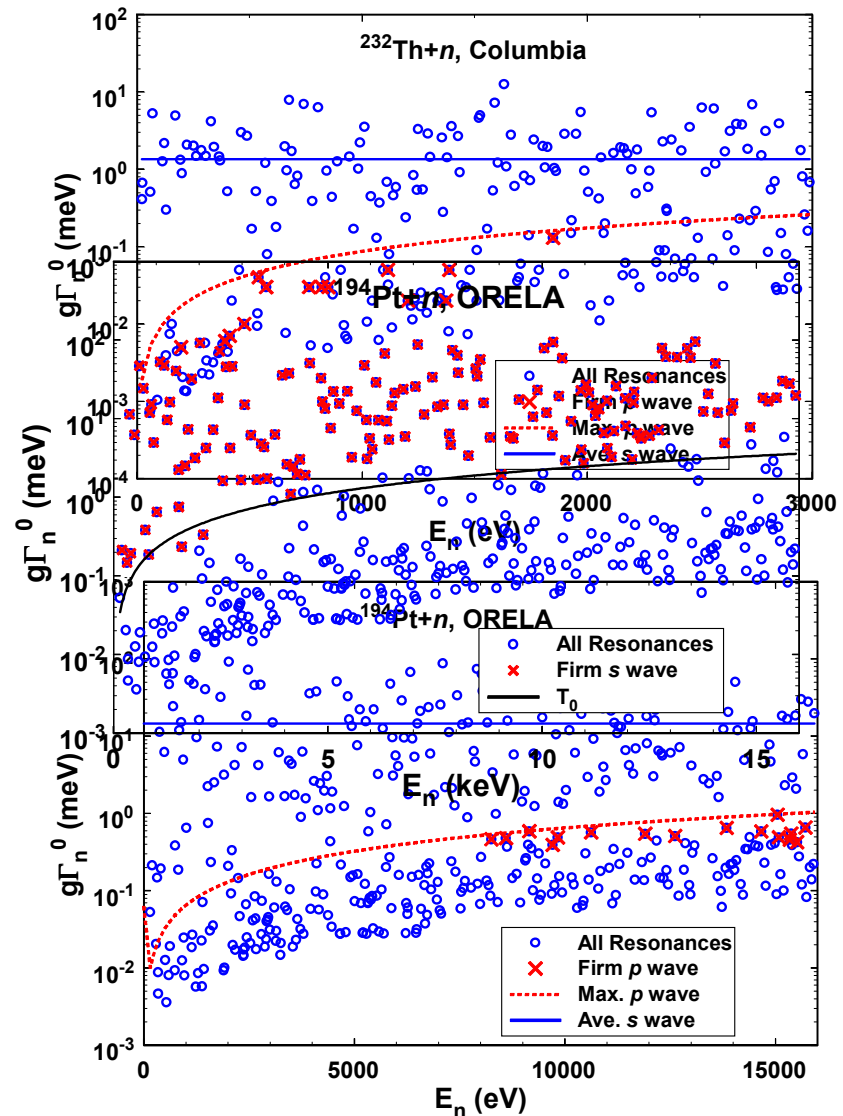
Neutron capture and total cross sections for 192,194,195,196,natPt

- Better analysis.

Simultaneous  $R$ -matrix analysis (SAMMY).

Many firm  $s$ -wave assignments independent of RMT.

Extra statistical tests to ensure veracity of ML results.



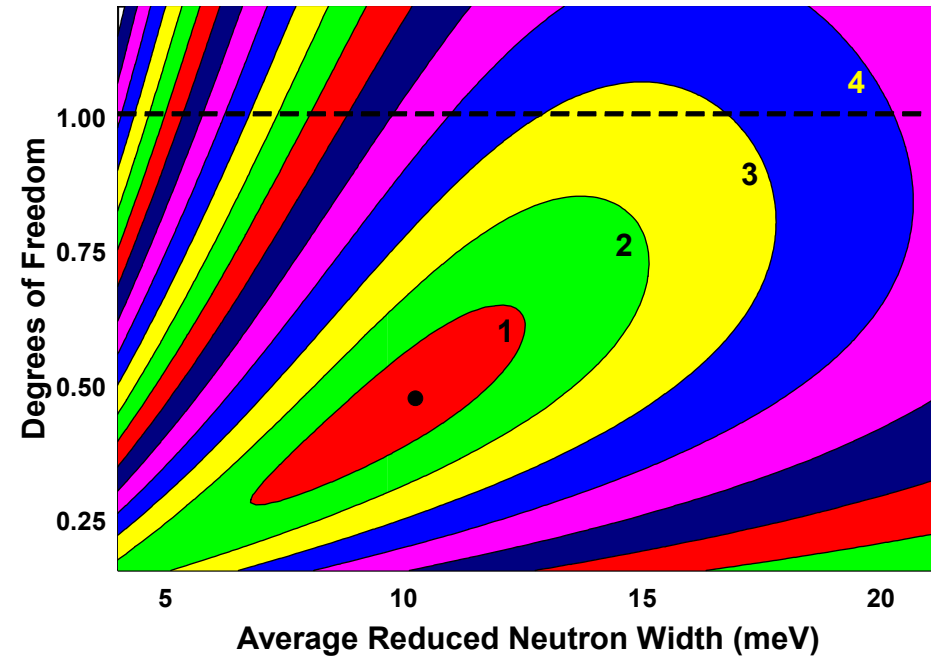
# 192,194,196Pt+n Results

- Resonance parameters.

830 resonances.  
318 firm *s* wave.

- Maximum-Likelihood (ML) analysis.

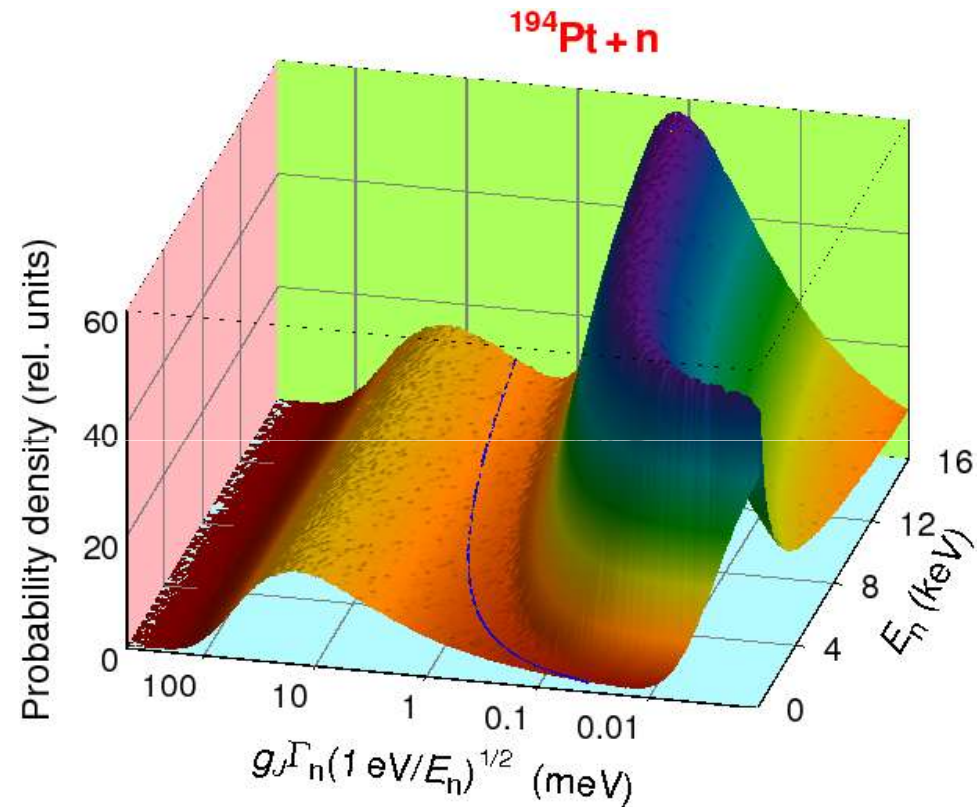
$^{192}\text{Pt}$ :  $\nu = 0.57 \pm 0.16$   
 $^{194}\text{Pt}$ :  $\nu = 0.47 \pm 0.19$   
 $^{196}\text{Pt}$ :  $\nu = 0.60 \pm 0.28$



$$z(\nu, E[\Gamma_{\lambda n}^0]) = 2^{\frac{1}{2}} [\ln L_{\max} - \ln L(\nu, E[\Gamma_{\lambda n}^0])]^{\frac{1}{2}}$$

# 192,194,196Pt+n Results

- Additional calculations to determine confidence level (CL) for rejecting PTD.  
Monte Carlo simulation to determine CL as function of  $\langle \Gamma_n^0 \rangle$ .  
Two new statistics to limit range of  $\langle \Gamma_n^0 \rangle$ .  
Confirmed ML results.
- Auxiliary ML analysis to verify that *p*-wave contamination is negligibly small (0.069 for  $^{192}\text{Pt}$ , 0.0047% for  $^{194}\text{Pt}$ ).

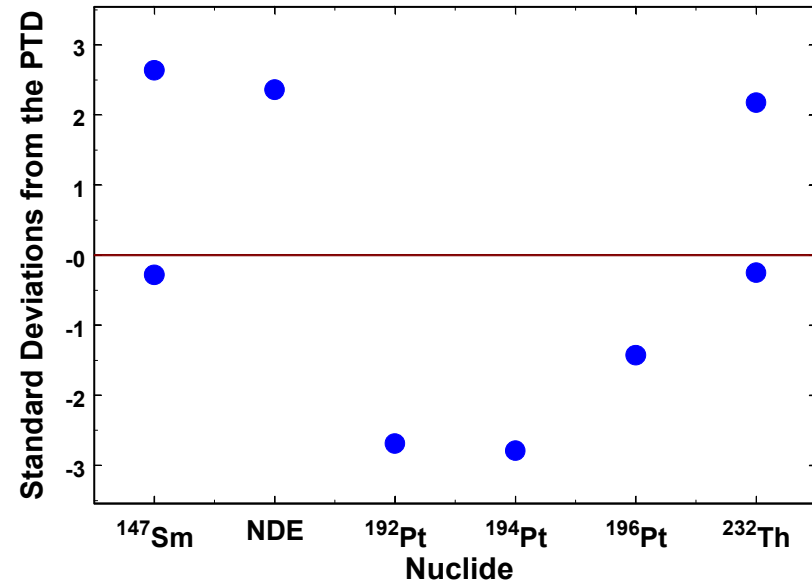


**PTD rejected at >99.997% confidence level**

Phys. Rev. Lett. 105, 072502 (2010)

# PTD Scorecard

- $^{232}\text{Th}$ :  
 $\nu = 3.8 \pm 1.3$  to  $0.83 \pm 0.68$ .
- $^{147}\text{Sm}$ :  
 $\nu = 0.91 \pm 0.32$  to  $3.19 \pm 0.83$ .
- NDE ("p free")  
 $\nu = 1.217 \pm 0.092$ .
- $^{192,194}\text{Pt}$ :  
PTD rejected at >99.997% confidence level.  
 $^{192}\text{Pt}$ :  $\nu = 0.57 \pm 0.16$   
 $^{194}\text{Pt}$ :  $\nu = 0.47 \pm 0.19$   
 $^{196}\text{Pt}$ :  $\nu = 0.60 \pm 0.28$



## Possible explanation ( $\nu \approx 0.5$ )?

- Did Bethe get it right way back in 1955?
- Hughes and Harvey, Phys. Rev. C 99, 1032 (1955).

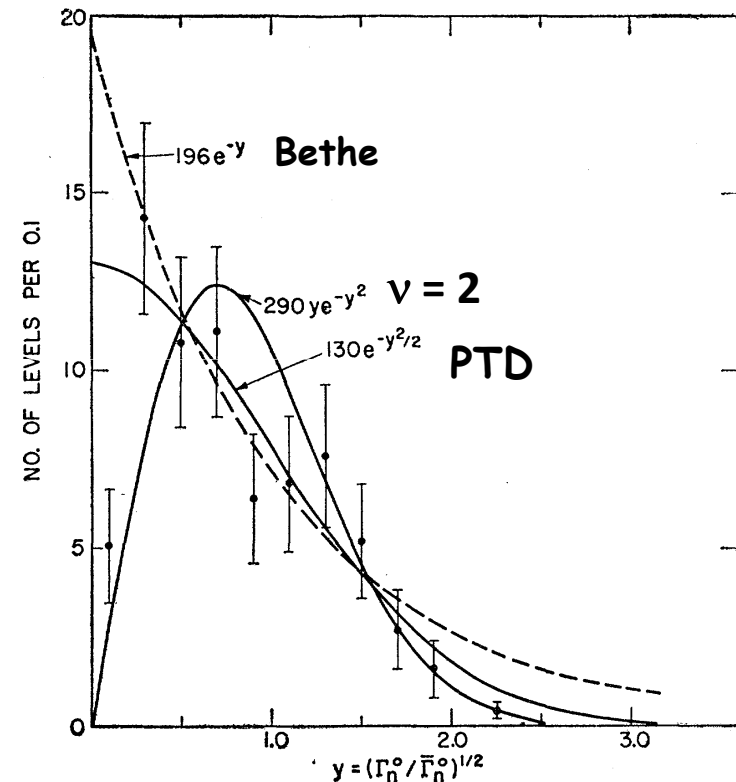
Compared their NDE to 3 theories.

Theory attributed to Bethe (private communication) is broader than PTD.

- Bethe's distribution published in Peaceful Uses of Atomic Energy:

"Since there is no theory of the statistical distribution of reduced neutron width, we feel free to assume a purely empirical formula..."

"This [the PTD] also has some slight theoretical foundation..."



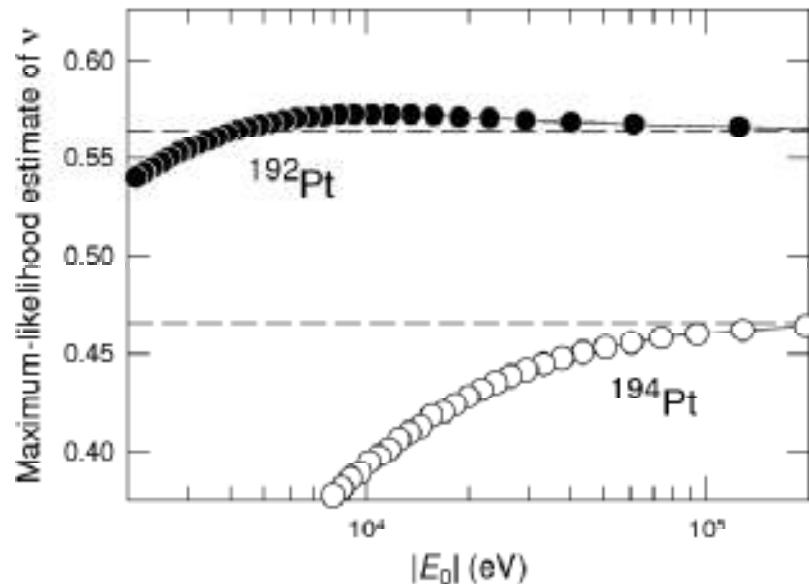
## ~~Possible explanation?~~

- Alternate transformation of  $\Gamma_n$  to  $\Gamma_n^0$  for nuclides near peaks of  $s$ -wave strength function.

Weidenmüller, Phys. Rev. Lett. 105, 232501 (2010).

$$\Gamma_n^{0'} = C \times \Gamma_n \frac{E + |E_0|}{\sqrt{E}}$$

- Worsens disagreement for  $^{192}\text{Pt}$ ,  $^{194}\text{Pt}$ .
- Broadens distribution unless  $\langle \Gamma_n^0 \rangle$  decreasing with  $E$ .
- Cannot explain, e.g.  $^{232}\text{Th}$ .



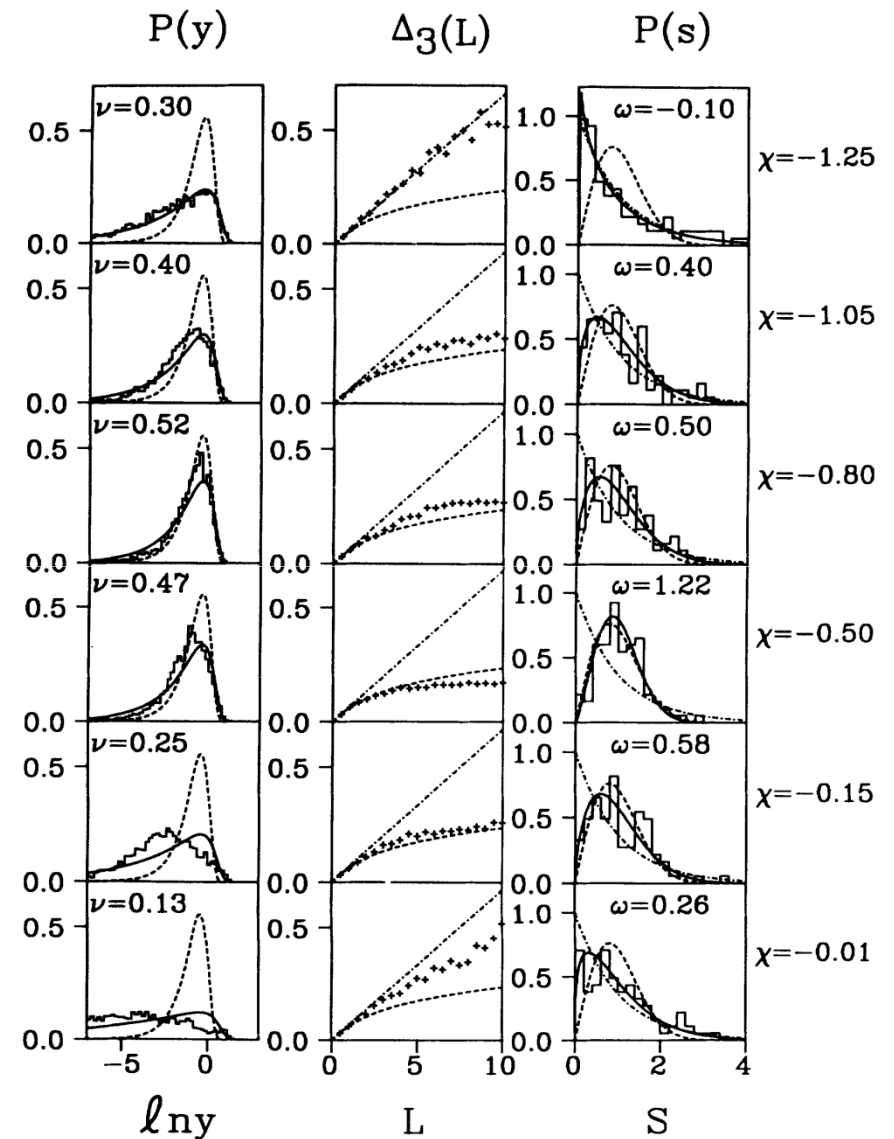


## Possible explanation ( $\nu \approx 0.5$ )?

- Might be signature of collective effect (e.g., Y. Alhassid and A. Novoselsky, Phys. Rev. C 45, 1677 (1992)).

Model calculations for low excitations yielded transition strength distributions with  $\nu < 1$  as system became more collective.

But why would highly excited states in  $^{193,195}\text{Pt}$  be collective?



## Possible explanation?

- External mixing of resonance states via the continuum causes deviations from “complete randomness”.

Kleinwächter and Rotter, *Phys. Rev. C* 32, 1742 (1985) and subsequent papers.

- Continuum shell model.
- Resonances appear isolated ( $\langle \Gamma_n \rangle \ll D_0$ ), but they actually are coupled.

“The narrow compound nucleus resonances in heavy nuclei are the result of a dynamical phase transition. They are characterized by *essential collective aspects of the interplay between the constituent particles and not by a combination of one-body problems.*” (Rotter, *J. Mod. Phys.* 1, 303 (2010)).

- Expected to broaden the width distribution.

# Possible explanation?

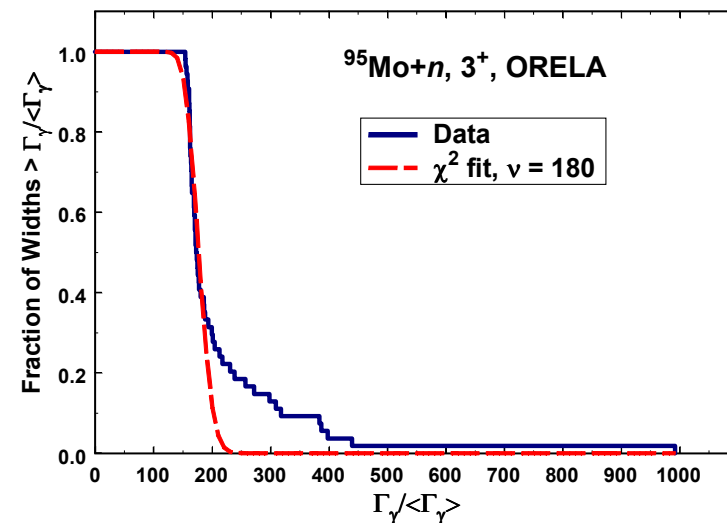
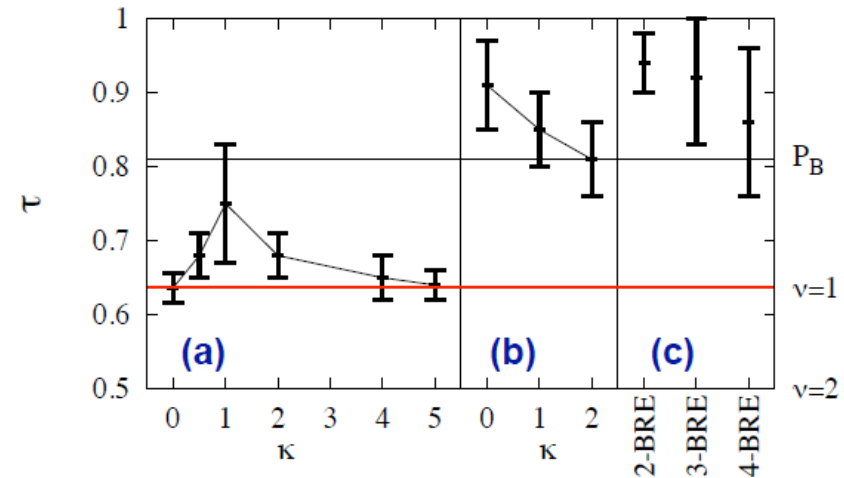
- Correlations between parent and daughter nuclear systems result in deviations of decay width statistics from the PTD

Volya, Phys. Rev. C 83, 044312 (2011).

- Continuum shell model.
- Different from compound nucleus model.

“...the two-body or other low-rank Hamiltonian does not lead to dynamical mixing of states strong enough for the decaying system to lose all memory of its creation.”

- Deviation from PTD also expected for electromagnetic transitions.



# ~~Possible explanation?~~

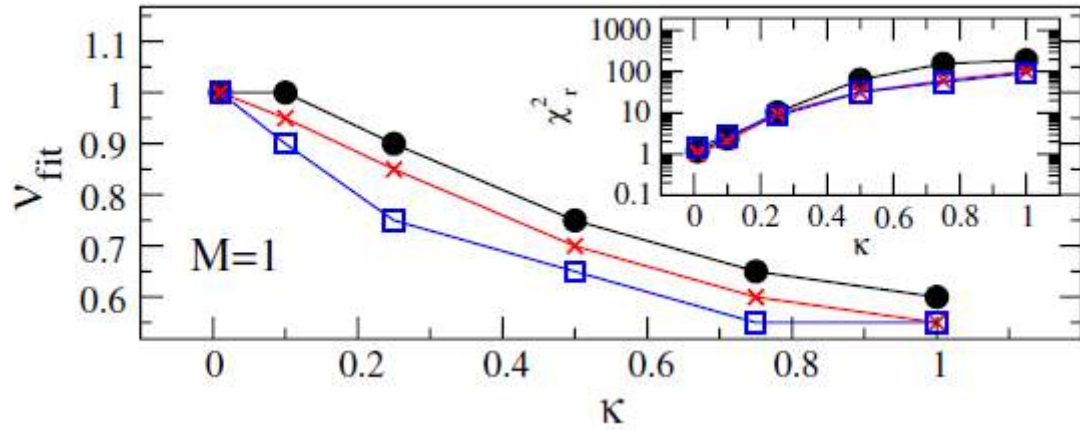
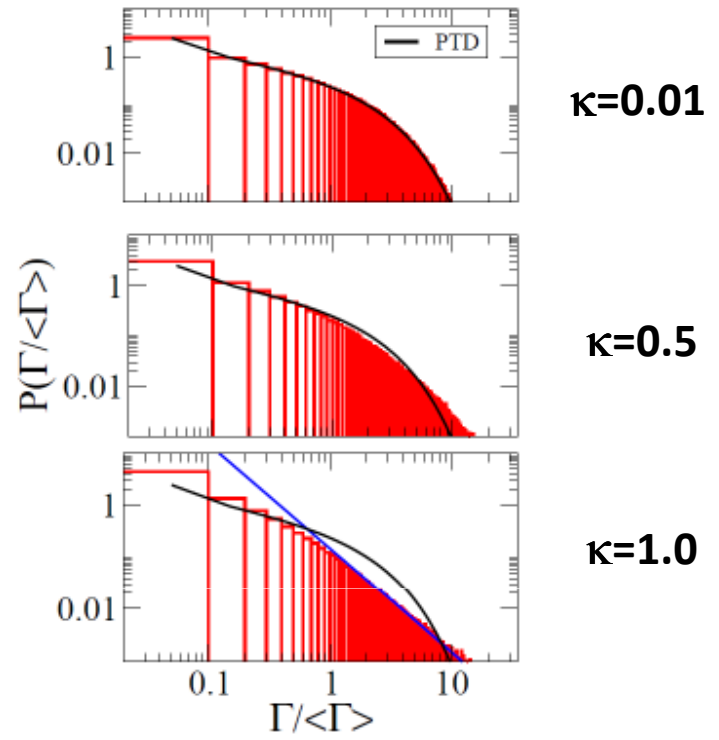
- Continuum coupling causes deviations from the PTD.

*Celardo et al., Phys. Rev. Lett. 106, 042501 (2011).*

- Coupling strength calculated from our data is too small to cause our observed deviation from the PTD.

$$\kappa \approx \frac{\pi}{2} S_0 \sqrt{E}$$

$\kappa < 0.04 \Rightarrow v > 0.95$   
 ( $v \approx 0.5$  for  $^{192,194}\text{Pt}$ )



# Conclusions

- Porter-Thomas distribution (RMT for the GOE) shown to be incorrect in several cases.
- Most famous “proof” of RMT for the GOE (the NDE) is fatally flawed.
- Best case so far:  $\Gamma_n^0$  data for  $^{192,194,196}\text{Pt}$ .

PTD excluded at 99.997% confidence level.

$\nu \approx 0.5$  (PTD has  $\nu = 1$ ).

Several models proposed, some excluded by the data.

- Other cases (e.g.,  $^{147}\text{Sm}$  and  $^{232}\text{Th}$ ),  $\nu$  changes from 1 to  $\approx 2$ .

# Future Prospects

- Need high quality neutron capture and total cross sections.

New resonance parameters should be used to test theory instead of using theory to correct data.

- Need careful R-matrix analysis.

Very important to indicate which  $J^\pi$  assignments are firm (independent of theory being tested).

- New techniques for determining  $J^\pi$ 's should be extremely valuable (and are not too difficult to implement).

# Collaborators

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## Impact On Applications (e.g., Astrophysics, Nuclear Energy)

- Shape of neutron-width distribution affects calculated cross sections and important parameters for applications.

$\nu=1$  assumed throughout nuclear statistical model.

Width fluctuation correction depends on  $\nu$ .  
e.g., for  $\Gamma_{c'}/\Gamma_c=1$ ,  $S_{cc'}=\nu/(\nu+1)$ .

Self shielding correction for reactors, etc. vary with  $\nu$ .



## Neutron Widths in the NDE

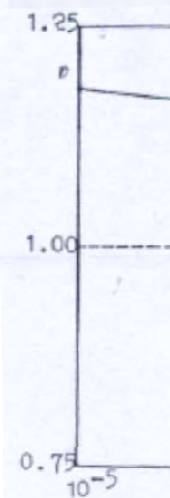
- Bohigas *et al.* used the maximum-likelihood technique to analyze 1182  $\Gamma_n^0$ 's in the NDE, all as one group.

Found good agreement between the data and PT.

- $\Gamma_n^0$ 's for subset of 1245 resonances available.

All but  $^{64,66,68}\text{Zn}$  (ORELA) and  $^{156}\text{Gd}$  (GELINA) from Columbia University group, published in the 1970's.

Nuclide	$N_{res}$	$E_{max}$ (keV)
$^{64}\text{Zn}$	103	367.55
$^{66}\text{Zn}$	65	297.63
$^{68}\text{Zn}$	45	247.20
$^{114}\text{Cd}$	17	3.3336
$^{152}\text{Sm}$	70	3.665
$^{154}\text{Sm}$	27	3.0468
$^{154}\text{Gd}$	19	0.2692
$^{156}\text{Gd}$	54	1.9908
$^{158}\text{Gd}$	47	3.9827
$^{160}\text{Gd}$	21	3.9316
$^{160}\text{Dy}$	18	0.4301
$^{162}\text{Dy}$	46	2.9572
$^{164}\text{Dy}$	20	2.9687
$^{166}\text{Er}$	109	4.1693
$^{168}\text{Er}$	48	4.6711
$^{170}\text{Er}$	31	4.7151
$^{172}\text{Yb}$	55	3.9000
$^{174}\text{Yb}$	19	3.2877
$^{176}\text{Yb}$	23	3.9723
$^{182}\text{W}$	40	2.6071
$^{184}\text{W}$	30	2.6208
$^{186}\text{W}$	14	1.1871
$^{232}\text{Th}$	178	2.988
$^{238}\text{U}$	146	3.0151



le:



## Possible explanations?

- ~~• TRIV and unknown (e.g. inelastic) extra neutron channel ruled out.~~

~~Lead to  $\nu > 1$ , but  $\nu < 1$  observed.~~

- Widths not statistical.

But typical nonstatistical signatures absent in data (e.g., steps in  $\Sigma\Gamma_n^0$  vs.  $E_n$ ).

